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10/707,229	11/28/2003	Scott Sherman	45283.109	1228
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EDWARD YOO C/O BENNETT JONES 1000 ATCO CENTRE 10035 - 105 STREET EDMONTON, ALBERTA, AB T5J3T2 CANADA			LEWIS, BEN	
			ART UNIT	PAPER NUMBER
			1745	

DATE MAILED: 09/08/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/707,229	<b>Applicant(s)</b> SHERMAN ET AL.	
	<b>Examiner</b> Ben Lewis	<b>Art Unit</b> 1745	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is FINAL.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-15 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-15 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 28 November 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |  |
|---|--|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. ____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)            |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>04/01/05</u> . | 6) <input type="checkbox"/> Other: ____  |

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1-5, 8 and 12-14 are rejected under 35 U.S.C. 102(b) as being anticipated by Donelson et al. (U.S. Patent No. 6,492,053 B1).

With respect to claims 1 and 12, Donelson et al. disclose a planar fuel cell assembly wherein in FIGS. 1 to 5, the single fuel cell assembly **10** comprises a pair of spaced interconnect plates **12** and **14** with a single fuel cell **16** between them. The present invention is particularly applicable to a stack of a plurality of fuel cells, but will operate with a single fuel cell and is described accordingly for convenience (Col 5 lines 39-50). Referring now to FIGS. 2 to 5, it may be seen that the fuel cell assembly **10** is internally manifolded, that is manifolds for the oxygen-containing gas and fuel gas extend through the interconnect plates **12** and **14** and the spacer plate **34**, as well as through the gaskets **44** and **48** (Col 7 lines 55-65). It is important to seal the air in the chamber on the cathode side of the fuel cell from the fuel gas in the chamber on the anode side, and a seal in the form of a glass containing gasket **44** is seated on the cathode side **24** of the interconnect plate **14** around the air distribution channels **28**. The gasket **44** extends fully between the interconnect plate **14** and the spacer plate **34**

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and also between the interconnect plate **14** and a peripheral region **46** of the fuel cell **16**. A thinner glass containing gasket **48** is disposed between the spacer plate **34** and the anode side **26** of the interconnect plate **12** to seal the anode side of the chamber (Col 7 lines 24-40).

With respect to claims 2 and 3, Donelson et al. teach that it is important to seal the air in the chamber on the cathode side of the fuel cell from the fuel gas in the chamber on the anode side, and a seal in the form of a glass containing gasket **44** is seated on the cathode side **24** of the interconnect plate **14** around the air distribution channels **28**. The gasket **44** extends fully between the interconnect plate **14** and the spacer plate **34** and also between the interconnect plate **14** and a peripheral region **46** of the fuel cell **16**. A thinner glass containing gasket **48** is disposed between the spacer plate **34** and the anode side **26** of the interconnect plate **12** to seal the anode side of the chamber (Col 7 lines 24-40).

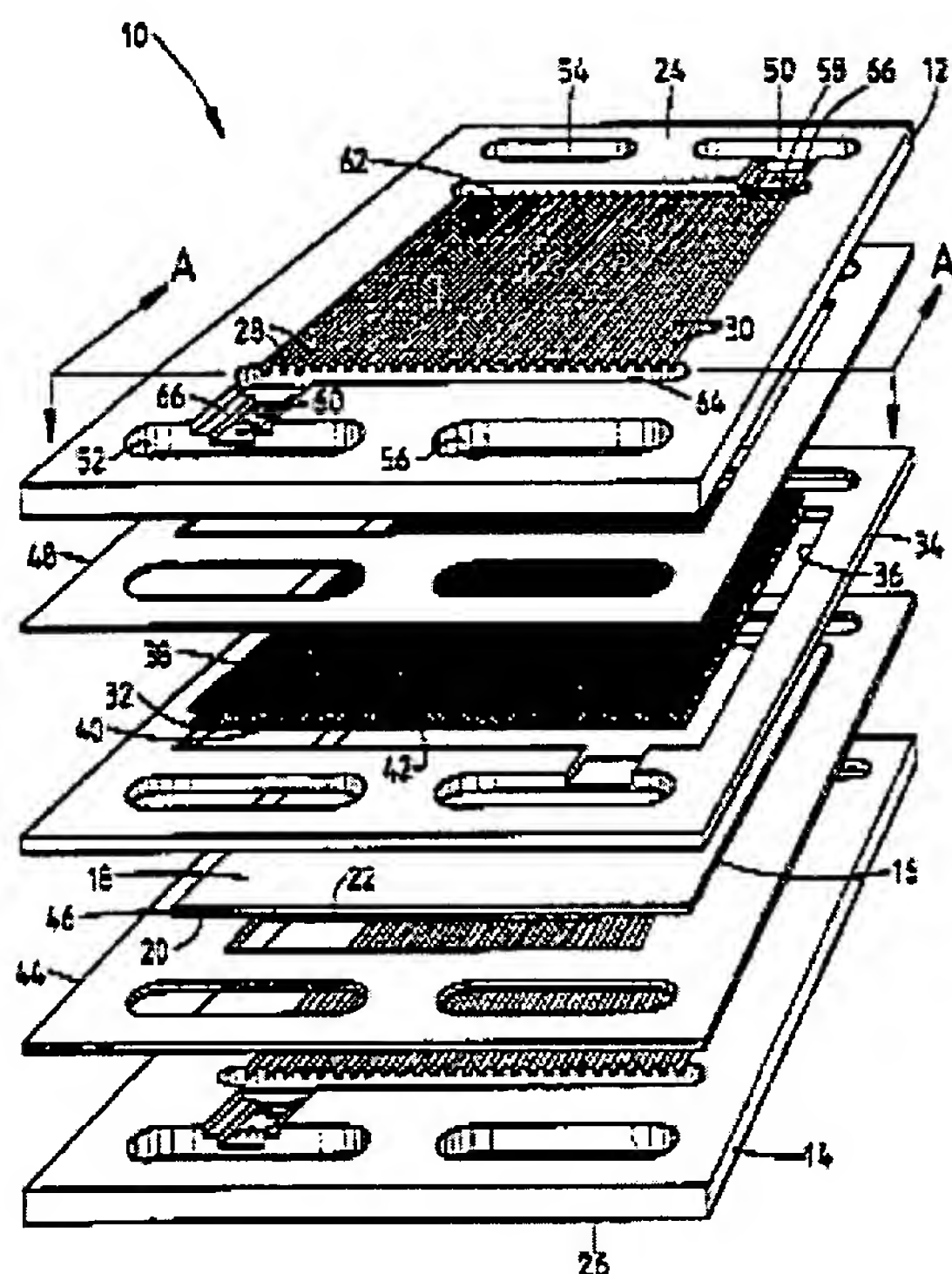


FIG 2

With respect to claim 4, Donelson et al. teach that the fuel cell is defined between the interconnect members within which the fuel cell is received and electrically conductive compressible means "porous contact material" also disposed within the chamber in electrical contact with a first side of the fuel cell and the adjacent interconnect member urges the fuel cell towards the adjacent interconnect member on the second side thereof to maintain the fuel cell in electrical contact with both interconnect members (Col 2 lines 50-67). Possible examples of the compressible means for use on the anode side of the fuel cell include a structure, such as a metallic corrugation or a porous metallic felt, which retains some resilience at the operating

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temperature; and a composite of a porous brittle material and a metal. (Col 3 lines 20-25). For a compressible means on the cathode side of the fuel cell, in addition to having electrical conductivity and porosity the material should be resistant to oxidation, for example a form of ceramic felt or other fiber structure (Col 4 lines 1-5). Electrically conductive compressible means as described above may also be disposed within the chamber in electrical contact with the second side of the fuel cell and the adjacent interconnect member (Col 4 lines 1-10).

With respect to claim 5, Donelson et al. teach that the interconnect plates **12** and **14** are shown ribbed on only the cathode side **24** to facilitate air flow across the cathode layer **22** of the fuel cell **16** (Col 6 lines 10-15).

With respect to claims 8 and 13, Donelson et al. teach a fuel cell with a rectangular shape ( See Fig 2.).

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States

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only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. Claims 1-5 are rejected under 35 U.S.C. 102(e) as being anticipated by Ghosh et al. (U.S. Patent No. 6,855,451 B2).

With respect to claim 1, Ghosh et al. discloses an electrochemical interconnect wherein, as shown in FIG. 1, a fuel cell stack (10) is comprised of several components. As used herein, a "membrane unit" consists of a ceramic membrane having an electrolyte layer and opposing anode and cathode layers. A "fuel cell unit" consists of a membrane unit, an interconnect plate and the associated seals and other elements. A fuel cell stack is comprised of a plurality of repeated fuel cell units. The base plate (12) serves as a fixture for the stack, and provides structural support for the units that comprise the stack. The bottom interconnect plate (14) has cut into one of its surface a plurality of gas flow fields (16) that serve as conduits for moving either fuel gas or oxidant gases such that they may contact the adjacent ceramic membrane unit (18) membrane. A fuel cell membrane unit (18) operates such that one side of the cell membrane unit (18) is in contact with the fuel gas, and the other side of the cell membrane unit (18) is in contact with oxidant gasses. The membrane unit cell (18) is surrounded by seal (20) "gasket" that provides a sealing means such that the gases flowing across the anode or cathode of a fuel cell do not escape those flow fields into other regions of the cell or into the atmosphere (Col 3 lines 40-67). Vertical manifolds are formed in the stack (10) by a plurality of openings in the interconnect plates (14) which are stacked and scaled together. In the context of a single interconnect plate (14), a "manifold" refers to the opening defined by the plate which forms the stack



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manifold when a plurality of plates are stacked together. Fuel gases enter the stack through fuel intake manifold (30), flow across the interconnect (14) in a fuel flow field (17), through the incompressible element (22), through gas flow fields (16), and exit the stack through the fuel exhaust manifold (32). The oxidant gasses enter the stack through an oxidant intake manifold (34) and flow across the interconnect (14) through gas flow fields perpendicular to gas flow fields (16) and exit the stack through manifolds (36). All the manifolds are sealed to the interconnect plates (14) through manifold seals " anode and cathode manifold gaskets" (38). Seals (38) are preferably compressible and yet remain flexible at the fuel cell's typical operating temperature of over 650.degree. C. It is important that the seals remain flexible at the cells operating temperature to accommodate the thermal expansion and contraction that the different elements of the fuel cell stack will encounter during thermal cycling occurring in normal operation. (Col 4 lines 5-32) (See Fig. 1).



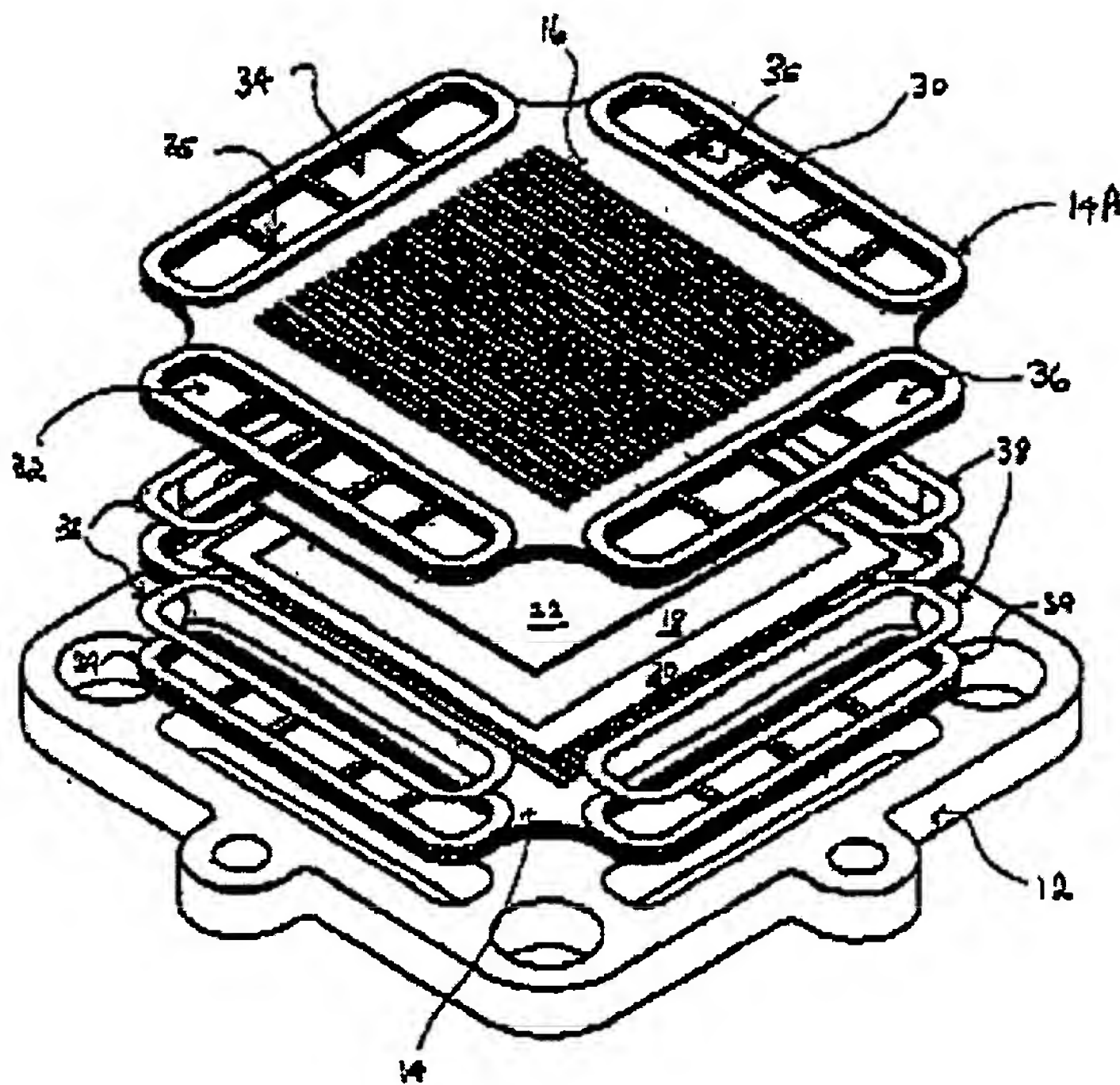


FIG. 1

With respect to claims 2 and 3, Ghosh et al. teaches that All the manifolds are sealed to the interconnect plates (14) through manifold seals “ anode and cathode manifold gaskets” (38). Seals (38) are preferably compressible and yet remain flexible at the fuel cell's typical operating temperature of over 650.degree. C. It is important that the seals remain flexible at the cells operating temperature to accommodate the thermal expansion and contraction that the different elements of the fuel cell stack will encounter during thermal cycling occurring in normal operation. (Col 4 lines 5-32).

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With respect to claim 4, Ghosh et al. teaches that In one embodiment, the membrane unit (18) is held in place through the presence of a porous. electrically conductive, compressible element. In one embodiment, this is nickel foam (22). Foam (22) is compressed against the next interconnect plate (14A) in sequence when the stack is assembled. Thus the ceramic electrolyte membrane unit (18) is restrained from movement in all three axes (Col 4 lines 1-5).

With respect to claim 5, Ghosh et al. teaches The oxidant gas flow channels (16) are formed in the oxidant gas plate (40) and overlap with the oxidant intake manifold openings (46) formed in the barrier plate (42), which are continuous with the intake manifold (36) (Col 4 lines 46-60).

### ***Claim Rejections - 35 USC § 103***

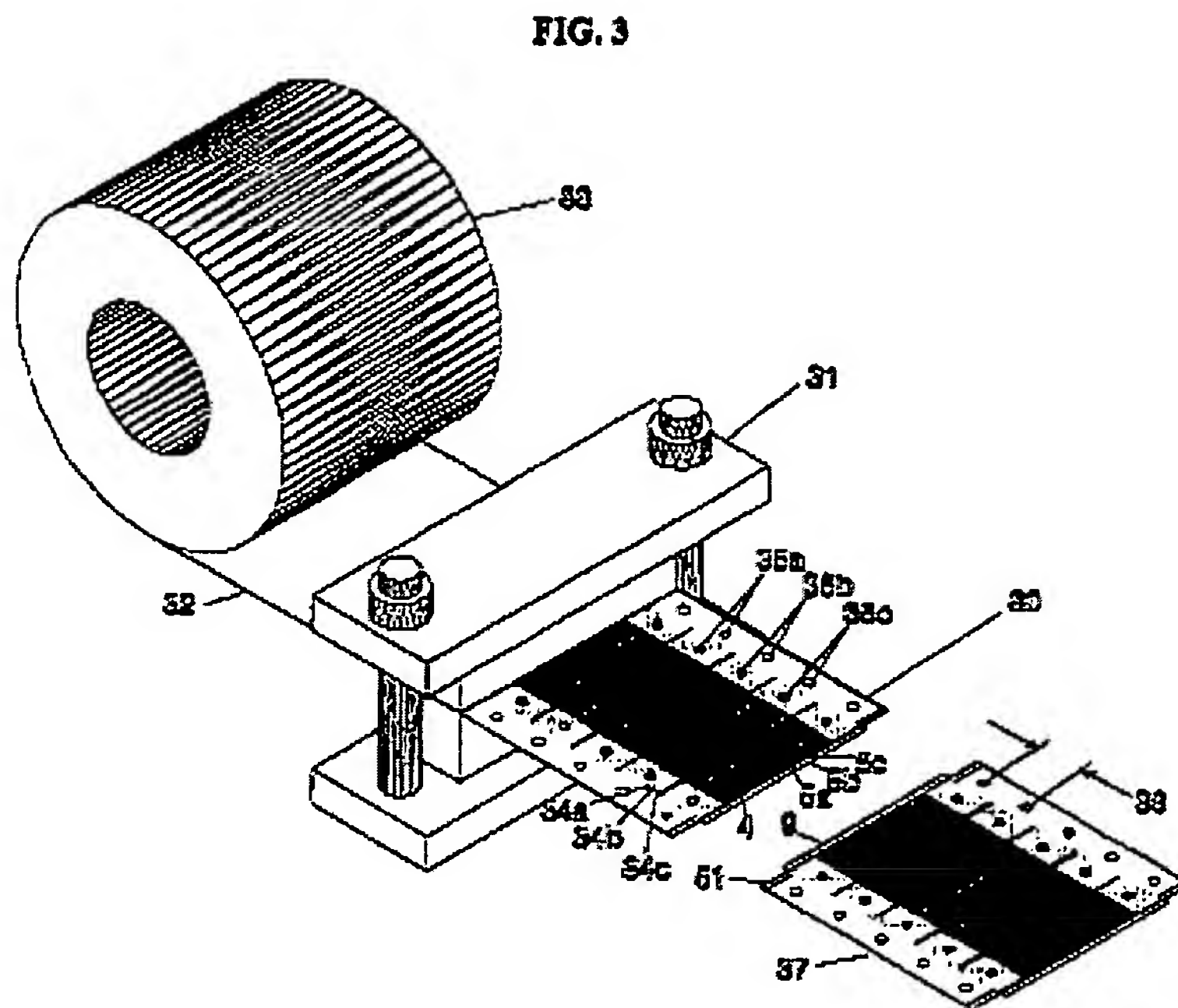
5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over Donelson et al. (U.S. Patent No. 6,492,053 B1) as applied to claims above and further in view of Allen (U.S. Patent No. 6,777,126 B1).

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With respect to claim 6, Donelson et al. disclose a planar fuel cell assembly in paragraph 2 above. Donelson et al. is silent to method of construction of the ribbed interconnect plates. However, Allen discloses a fuel cell bipolar separator plate wherein FIG. 3 illustrates a preferred method of manufacture for the main body **30** of the separator plate 1. Stamping tooling **31** is provided to receive raw material in the form of sheet metal **32** dispensed from a coil **33**. Stamping tooling **31** is configured to form and shape the sheet metal **32** in a manner which imparts structure to the sheet metal in the form of a plurality of ribs **5a**, **5b**, **5c**, . . . within the ribbed active area **4**. The stamping tooling **31** is further configured to impart these aforesaid features in discrete segments **36** upon each open/shut cycle of the tool. The discrete segment **36** includes at least one set of paired through holes 35a on both opposing edge areas surrounded by a plurality of dimples **34a**, **34b**, **34c** . . . A plurality of ribs **5a**, **5b**, **5c**, . . . are also included in each segment **36**. In operation, the stamping tool closes on sheet metal **32** with sufficient force and accuracy to impart the aforesaid features with appropriate precision and to avoid fracture of the sheet metal (Col 7 lines 17-45) (See Fig. 3). Therefore it would have been obvious to one of ordinary skill in the art to incorporate the stamping of Allen to form the ribs on the interconnect plate of Donelson et al. because Allen et al teach that Stamping tooling **31** is configured to form and shape the sheet metal **32** in a manner which imparts structure to the sheet metal in the form of a plurality of ribs **5a**, **5b**, **5c**, . . . within the ribbed active area **4** (Col 7 lines 17-45).

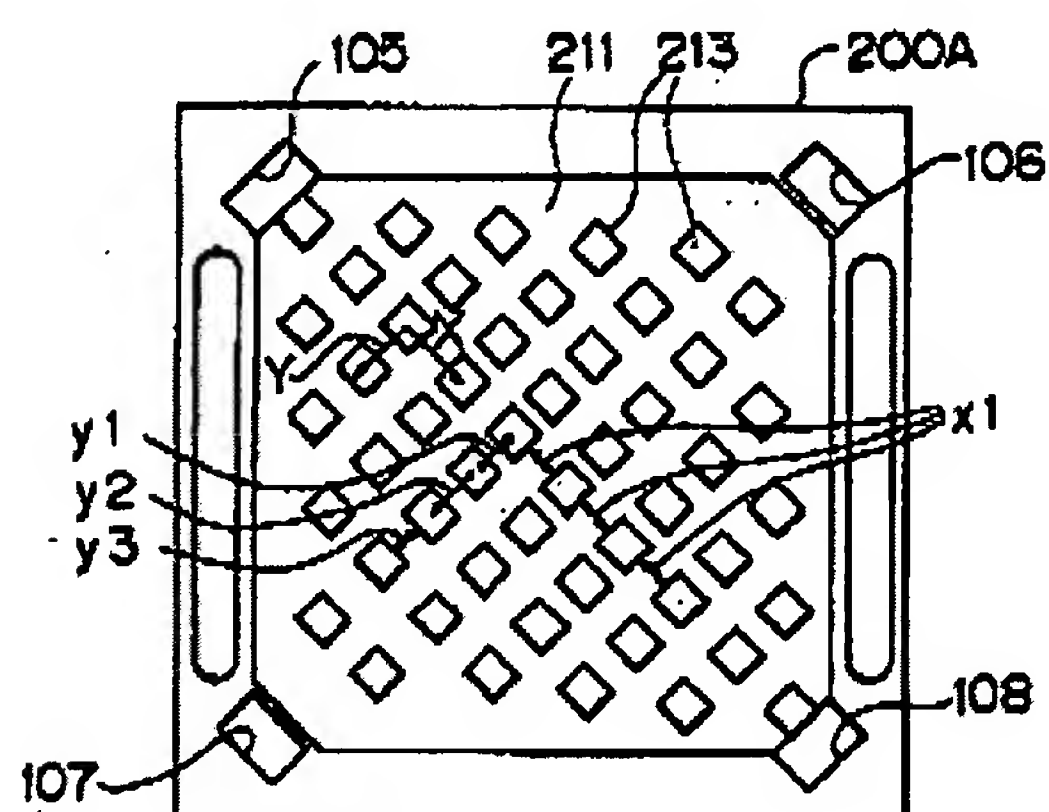


7.. Claims 7 and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Donelson et al. (U.S. Patent No. 6,492,053 B1) as applied to claims above and further in view of Iwase et al. (U.S. Patent No. 6,245,453 B1).

With respect to claims 7 and 14, Donelson et al. disclose a planar fuel cell assembly in paragraph 2 above. Donelson et al. is does not specifically teach wherein each of the anode gas chamber and the cathode gas chamber are disposed diagonally across the footprint. However, Iwase et al. discloses a fuel cell and separator wherein

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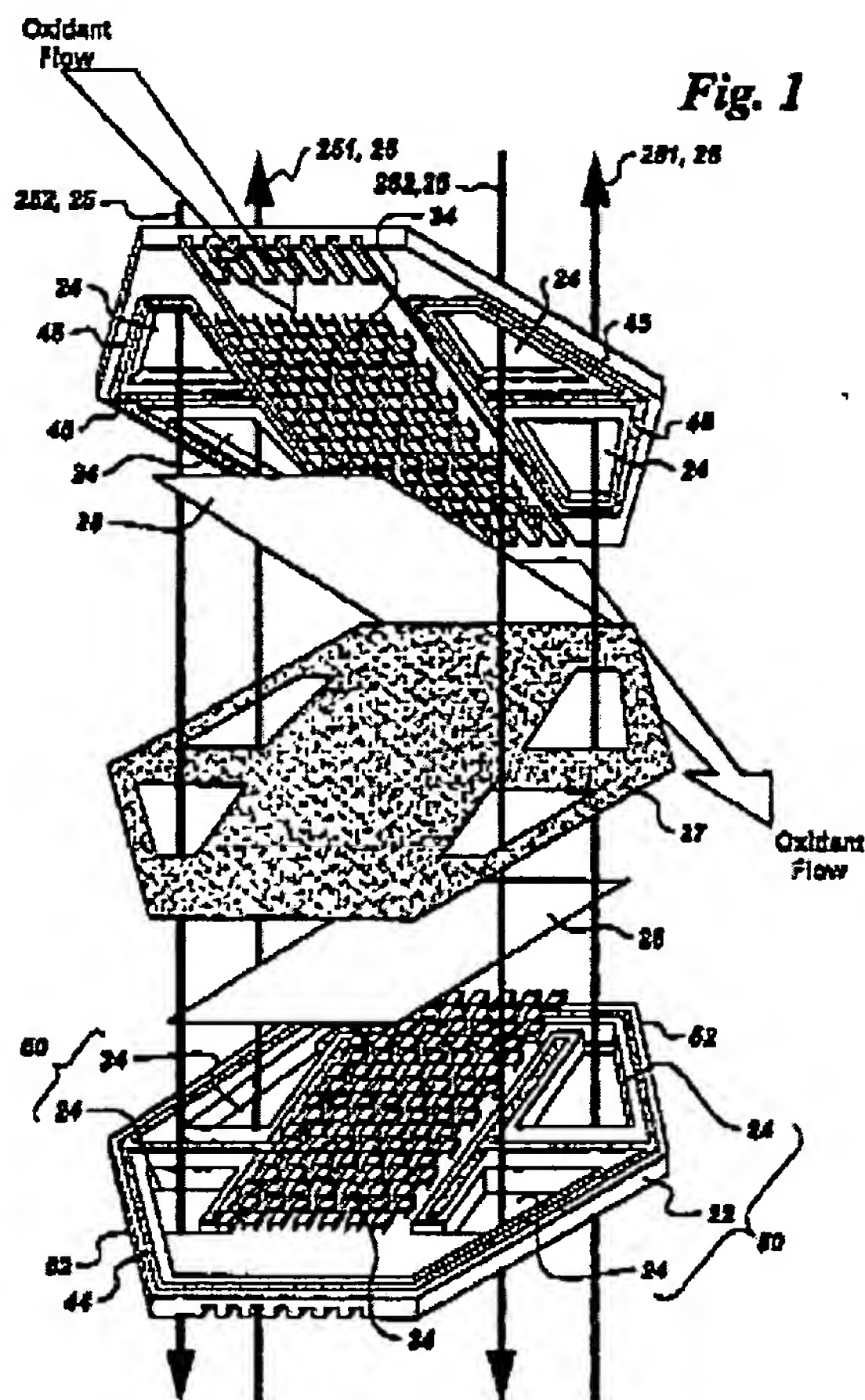
this embodiment has a structure in which the interval between adjacent projections is designed to be larger at the outside positions far from the diagonal line than in the vicinity of the diagonal line. Gas can easily flow even at the outside positions far away from the diagonal line. This serves to compensate for a reduction in the partial pressure of gas at the outside positions far away from the diagonal line. Therefore, the separator can be small-sized and the diffusibility of gas and the drainage of water can be further improved (Col 10 lines 35-46) (See Fig 4). Therefore it would have been obvious to incorporate the diagonal anode and cathode gas chamber arrangement of Iwase et al. into the fuel cell of Donelson et al because Iwase et al. teach that Gas can easily flow even at the outside positions far away from the diagonal line. This serves to compensate for a reduction in the partial pressure of gas at the outside positions far away from the diagonal line. Therefore, the separator can be small-sized and the diffusibility of gas and the drainage of water can be further improved (Col 10 lines 35-46)

**FIG. 4**

8. Claim 9 and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Donelson et al. (U.S. Patent No. 6,492,053 B1) as applied to claims above and further in view of Bourgeois et al. (U.S. Pub. No. 2004/0043278 A1).

With respect to claims 9 and 15, Donelson et al. disclose a planar fuel cell assembly in paragraph 2 above. Donelson et al. does not specifically teach wherein the fuel cell is hexagonal. However, Bourgeois et al discloses a fuel cell wherein for the fuel cell stack **10** of FIGS. 1 and 4, each planar fuel cell unit **20** is hexagonal. More particularly, for the embodiment shown in FIG. 1, each interconnect **22** defines four openings **24**, which are arranged in two pairs positioned on two opposing ends **52** of the interconnect **22**, each pair defining an intake fuel manifold **251** and an exhaust fuel manifold **252**, as indicated by arrows in FIG. 1. Beneficially, this configuration of openings **24** facilitates the symmetric distribution of fuel across the planar fuel cell unit **20** (Paragraph 0022) (See Fig. 1). Therefore it would have been obvious to one of ordinary skill in the art to incorporate the hexagonal fuel cell shape of Bourgeois et al into the fuel cell of Donelson et al because Bourgeois et al teach that beneficially, this configuration of openings **24** facilitates the symmetric distribution of fuel across the planar fuel cell unit **20** (Paragraph 0022).





9. Claims 10 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Donelson et al. (U.S. Patent No. 6,492,053 B1) as applied to claims above and further in view of Ghosh et al. (U.S. Patent No. 6,902,798 B2).

With respect to claims 10 and 11, Donelson et al. disclose a planar fuel cell assembly in paragraph 2 above. Donelson et al. does not specifically teach wherein each a leak path gap is provided between the cathode or anode gasket seals and the first and second manifold seals. However, Ghosh et al. discloses a high temperature



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gas seals wherein the present invention is directed to a gasket type sealing element for sealing the cells in a SOFC from each other which are effective under the harsh operating environment in which the cells are required to operate (Col 1 lines 60-67). In FIG. 1 a portion of a fuel cell stack is illustrated. A seal (10a) is shown fitted between two interconnects (20), and a fuel cell (22). Seals (10b) are also shown surrounding the gas manifolds (24), which conduct the fuel and air separately to the cell. It is important to keep these two gas flows sealed inside their respective manifolds, for both efficiency and safety reasons. The seals (10a, 10b) of the present invention are not limited to seals having the shape or configuration illustrated nor is the configuration of the fuel cell stack intended to limit the claimed invention in any manner (Col 3 lines 10-23). An effective seal is formed when the ceramic powder within the fibre matrix is compressed sufficiently dense to create a very torturous leak path for the gases. The fibre matrix acts as a physical restraint to the ceramic powder, allowing the shape to be formed and maintained throughout its service life (Col 4 lines 40-55). Therefore it would have been obvious to one of ordinary skill in the art to incorporate the leak path of Ghosh et al. into the fuel cell of Donelson et al because Ghosh et al. teach that an effective seal is formed when the ceramic powder within the fibre matrix is compressed sufficiently dense to create a very torturous leak path for the gases (Col 4 lines 40-55).

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ben Lewis whose telephone number is 571-272-6481. The examiner can normally be reached on 8:30am - 5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick Ryan can be reached on 571-272-1292. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Ben Lewis



PATRICK JOSEPH RYAN  
SUPERVISORY PATENT EXAMINER

Patent Examiner  
Art Unit 1745